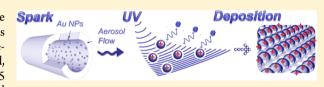


# Photoionization of Nanosized Aerosol Gold Agglomerates and Their **Deposition To Form Nanoscale Islands on Substrates**

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ABSTRACT: Positively charged gold nanoparticles can be produced in the aerosol state by ultraviolet irradiation of aerosols at wavelengths above the gold ionization energy. Spark-dischargegenerated aerosol gold nanoparticles were mobility-classified, neutralized, and then exposed to ultraviolet irradiation at 185 nm. The charge states were determined using a tandem differential



mobility analyzer system, and the results revealed that there was no significant dependence of charging probability upon mobility diameter between 4 and 60 nm (1.55  $\pm$  0.26 in positive elementary charge), probably because of the agglomerated nature of the particles. The ionized particles could be deposited to form nanoscale island patterns on a substrate without the use of templates.

### INTRODUCTION

Aerosol particles can be electrically charged in numerous ways, including heating or exposure to an electromagnetic field, with the number of elementary charges on a particle dependent upon the charging mechanism. Electrostatic interactions between particles can be either attractive or repulsive depending upon charge. The charge magnitude and polarity can be controlled by adjusting both the particle concentration and the concentration of surrounding ions. Accurate information on the magnitude and distribution of the particle charge is essential for examining the electrostatic effects in many fields of science and technology, e.g., atmospheric physics, aerosol-phase-based material synthesis, contamination control, etc.<sup>2-5</sup>

Incorporation of nanoparticles in quantum devices, field emission displays, single-electron transistors, and data storage devices requires precise patterning of well-separated nanoparticles on desired substrates.<sup>6</sup> Presently, lithography is the major workhorse for generating nanoparticle patterns. Nonetheless, lithographic techniques are fairly expensive, complicated, and time-consuming. Significant advances have been made in manipulating, delivering, and assembling a wide range of nanomaterials in predefined architectures and patterns. The realization of these architectures has largely depended upon the development of nanolithographic techniques. A challenge today can be found in the design of aerosol systems that combine aerosol nanoparticle synthesis with local area deposition on foreign substrates for nanodevice applications.8 Previous reports discussed a method for depositing freshly generated aerosol nanoparticles on a substrate using an electrostatically focused attraction between charged nano-particles and substrates. 9-12

Gold nanoparticles are used for a variety of industrial and commercial applications in biology, catalysis, and other nanotechnologies. 13,14 Even though wet chemical methods to prepare gold nanoparticles are the methods most commonly employed, the use of solvents can lead to particle contamination and unwanted surface modification because of

solvent-derived impurities. 15 Gas-phase approaches for producing gold nanoparticles offer distinct advantages. In particular, these approaches, which include inert gas condensation, <sup>16</sup> flame synthesis, 17 glowing wire generation, 18 and others, offer the possibility of green synthesis. This report concerns the generation of gold particles produced in an atmospheric pressure, non-equilibrium discharge, <sup>19</sup> which has been employed previously to produce gold nanoparticles. <sup>20–23</sup>

Direct irradiation and photoionization can be used to generate high number concentrations of positive and negative gaseous ions.<sup>24</sup> Photoionization has been considered as an effective means of positive charging of nanoparticles in plasma syntheses.<sup>25</sup> In the present work, direct photoionization was employed to create positively charged gold aerosol particles. The charge distributions of nanosized aerosol gold agglomerates passing through an ultraviolet (UV) irradiation chamber were determined. A tandem differential mobility analyzer (TDMA)<sup>26</sup> was employed to measure the positive elementary charges of gold agglomerates. The photoionized gold particles were then manipulated to produce nanoscale islands on a silicon wafer substrate without using lithographical masks or templates, which is different from conventional methods.

# METHODS

Figure 1 shows a schematic diagram of the process for the photoionization of aerosol gold agglomerates and the deposition of the ionized particles on a silicon wafer substrate. A spark discharge reactor was used to produce aerosol gold nanoparticles. The spark channels were formed between two identical gold rods (diameter of 3 mm and length of 100 mm, AU-172561, Nilaco, Japan) inside a reactor (volume of 42.8 cm<sup>3</sup>) under a pure nitrogen environment (purity of 99.999%) at standard temperature and pressure conditions. The TDMA system consisted of two nano differential electrical mobility analyzers (NDMA, 3085, TSI, Shoreview, MN), NDMA 1 and NDMA

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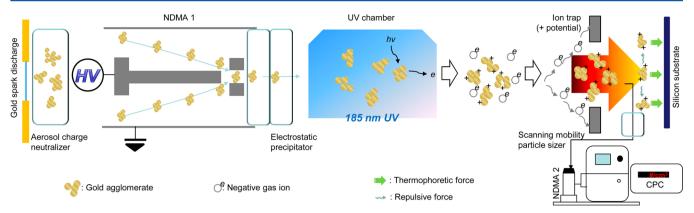


Figure 1. Schematic of photoionization of nanosized aerosol gold agglomerates and their deposition to produce nanoscale islands.

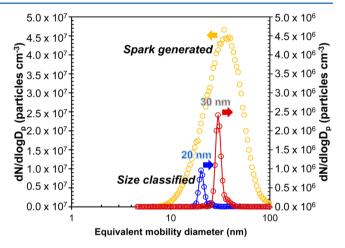
2, and a condensation particle counter (CPC, 3776, TSI, Shoreview, MN). NDMA 1 and NDMA 2 were placed before and after a glass chamber, which contained an UV lamp (3SC-9-A0, UVP, Cambridge, U.K.) operating a wavelength of 185 nm. NDMA 1 functioned as an electrostatic particle classifying system, which was operated at a chosen fixed voltage provided by a direct current power supply (205B, Bertan, Hauppauge, NY) to extract the particles of equivalent electrical mobility. The particles exiting NDMA 1 (all with equivalent electrical mobility) passed through a serial system consisting of an aerosol charge neutralizer (4810, HCT, Icheon, South Korea) and a cylindrical electrostatic precipitator to form uncharged monodisperse particles. The particles were finally directed into the UV chamber and irradiated at an intensity of 0.14 J m<sup>-2</sup> s<sup>-1</sup>, as estimated from the UV lamp specification and gas flow rate. To prevent charge neutralization of photoionized particles by coexisting negative ions, an ion trap was employed to collect the negative ions from the UV chamber. The particle loss to the trap was less than 2% under the maximum negative ion current (measured by Keithley 6517B electrometer) when the ion trap voltage was selected as +38 V. Upon leaving the trap, the aerosol was directed to NDMA 2, which was used to measure the charge distribution corresponding to the initially selected mobility diameter. To fabricate nanoscale islands, the temperature of the size-classified, photoionized, particle-laden flow was maintained at 19 °C with a tube heater, and the temperature of the silicon wafer substrate was maintained at -16 °C, thereby enhancing deposition of the particles onto the substrate via thermophoresis. Site-selective deposition of aerosol particles using electric fields was achieved for forming copper seeds for silver micropattern deposition in a previous study. Thermophoretic particle motion is usually described by the thermophoretic particle velocity

$$v_{\rm th} = -K_{\rm th} \frac{\mu_{\rm g} dT}{\rho_{\rm g} T_{\rm p}} \tag{1}$$

where dT represents the temperature gradient in the vicinity of the particle,  $\mu_{\rm g}$  is the gas dynamic viscosity,  $T_{\rm p}$  is the particle temperature, and  $\rho_{\rm g}$  is the gas density, and  $K_{\rm th}$  is the thermophoretic coefficient, which increases with decreasing of the particle size. Temperatures were measured by an infrared thermometer (42545, Extech, Nashua, NH) and maintained by a resistive heater and a Peltier cooler.

# ■ RESULTS AND DISCUSSION

Figure 2 summarizes the size distributions of the particles formed by spark discharge between the gold rods. The geometric mean diameter (GMD, mobility equivalent diameter), geometric standard deviation (GSD), and total number concentration (TNC) of the spark-produced gold particles were 32.7 nm, 1.53, and  $2.08 \times 10^7$  particles cm<sup>-3</sup>, respectively. This implies that the size distribution may be suitable for fabrication of gold islands with sizes between 10 and 100 nm in mobility diameter. In comparison to a previous study,<sup>20</sup> GSD is



**Figure 2.** Size distribution of aerosol gold particles from a spark discharge. Distributions of size-classified particles with equivalent mobility diameters of 20 and 30 nm are also displayed.

larger than that of the previous work because of the larger TNC value (from different uses of capacitance of 1 nF versus 10 nF, the previous work), although GMD is smaller than was previously measured. The analogous results for the 20 nm classified gold particles were 20.2 nm, 1.08, and  $7.57 \times 10^4$  particles cm<sup>-3</sup>, respectively, and the analogous results for the 30 nm classified particles were 30.1 nm, 1.09, and  $1.98 \times 10^5$  particles cm<sup>-3</sup>, respectively. The size-classified particles showed substantially narrower GSD values than the non-classified case. Hence, this is a method for producing a uniform nanoparticle source for creating nanoscale islands on a silicon wafer substrate.

Figure 3 shows representative high- and low-magnification transmission electron microscopy (TEM, CM-100, FEI/Philips, Hillsboro, OR) images of size-classified gold particles. Selected mobility diameters were 20 and 30 nm. Specimens were prepared for examination by TEM using direct electrostatic aerosol sampling at a sampling flow of 1.0 L min<sup>-1</sup> and an operating voltage of 5 kV using a nano particle collector (NPC-10, HCT, Icheon, South Korea). This electrostatic sampler was designed to couple directly downstream of NDMA to capture size-classified particles onto TEM grids or non-conductive substrates. Both images (panels a and b of Figure 3) establish that the gold particles were agglomerates of several primary particles, with primary particles of ~4 nm diameter. The size of the primary particles is consistent with a previous report (3.5 nm).<sup>20</sup> Gaps between primary particles might have originated

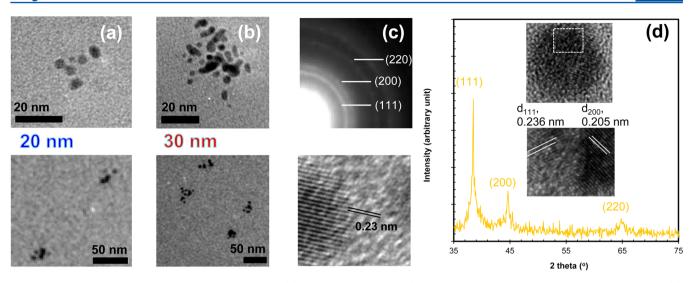


Figure 3. TEM images of gold particles classified by size as (a) 20 nm and (b) 30 nm, (c) ED pattern and microstructure of gold particles, and (d) XRD profile with high-magnification TEM images of gold lattice indices (111) and (200).

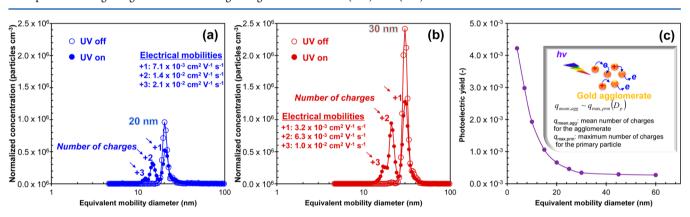


Figure 4. Results for photoionization of gold agglomerates. Charge distributions of UV-treated (a) 20 nm and (b) 30 nm gold particles. (c) Photoelectric yields of gold particles ranging from 4 to 60 nm in equivalent mobility diameter. The inset in panel c displays a phenomenon of charge—discharge by the electron movement within a network of primary gold particles in an agglomerate.

from impaction between the gold agglomerates and the silicon wafer substrate. Kinetic energy values of the 20 and 30 nm sized gold agglomerates directing onto the silicon wafer by thermophoresis are 1.98  $\times$  10<sup>-20</sup> and 6.68  $\times$  10<sup>-20</sup> J, respectively, which are significantly smaller than those (>5  $\times$ 10<sup>-18</sup> J) in previous reports for initiating fragmentation of tightly bonded aerosol agglomerates (very small gaps between the primary particles). <sup>28,29</sup> This implies that the agglomerates in the aerosol state consisted of weakly cohering primary gold particles, which could easily fragment upon thermophoretic deposition. In addition, agglomeration in the aerosol state has probably performed between positively charged gold primary particles because most spark-produced metallic particles were naturally positively charged because of energetic electron bombardment,<sup>30</sup> resulting in weak agglomerate architectures. Figure 3c shows the electron diffraction (ED) obtained of the sample used for TEM analysis. The pattern has a sharp diffraction line showing the (111) reflection and weak diffraction lines showing the (200) and (220) reflections of the face-centered cubic lattice for metallic gold. The ratio of peak intensity (111)/(200) was 3.1 from X-ray diffraction (XRD, RINT-2100, Rigaku, Japan) measurements (Figure 3d) and was smaller than those of anisotropic particles (e.g., rod and dendrite).<sup>31</sup> This indicates the presence of a rather

spherical morphology of gold. A representative high-resolution image (also shown in Figure 3c) with lattice fringes having a spacing of 0.23 nm (cf. 0.2355 nm for bulk gold) further confirmed a growth of gold particles with the (111) plane. Other lattice indices [e.g., (200)] could also be observed at other primary gold particles depending upon their geometry under the electron beam, as shown in Figure 3d. The average particle size estimated from XRD line broadening of the (111) peak (Figure 3d), according to Scherrer's formula, was 3.3 nm, and this is consistent with that obtained by TEM.

Figure 4 shows charge distributions of photoionized gold particles. Different peaks appearing in panels a and b of Figure 4 correspond to the different number of charges on the particles. The number of elementary charges q of the photoionized particles was estimated using the following equation:

$$q = \frac{Z_{\text{p,NDMA 2}}}{Z_{\text{p,NDMA 1}}} \tag{2}$$

where  $Z_{\rm p,NDMA~1}$  and  $Z_{\rm p,NDMA~2}$  are the electrical mobilities measured by NDMA 1 and NDMA 2, respectively. The results reveal that the UV irradiation induced positive charges on the particles and that the highest number of elementary charges

(on the basis of  $>10^3$  particles cm<sup>-3</sup>) was 3 for both size-classified cases.

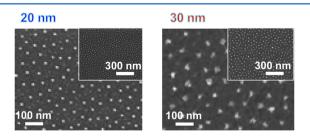
$$q_{\text{mean}} = \frac{I}{C_{\text{p}}Qe} \tag{3}$$

$$Y(h\nu) = \left(\frac{4q_{\text{mean}}h\nu}{\pi D_{\text{p}}^{2}I_{\text{UV}}t}\right)\left(\frac{\Phi_{\infty}^{2} - \Phi_{\infty}}{\Phi^{2} - \Phi}\right)$$
(4)

$$q_{\text{max}}(D_{\text{p}}) = \frac{2\pi\varepsilon_0}{e^2}(h\nu - \Phi_{\infty})D_{\text{p}} - \frac{3}{8}$$
(5)

The mean number of charges  $q_{\rm mean}$  for the 20 and 30 nm classified cases was 1.49 and 1.61, respectively, which is consistent with results from other measurements [refer to eq 3, where I is the current,  $C_p$  is the number concentration of particles, Q is the sampling flow rate, and e is the elementary unit of charge  $(1.6 \times 10^{-19} \text{ C})$ ] using an aerosol electrometer (5.705, Grimm, Stuttgart, Germany). From the measurements using the electrometer, the photoelectric yield  $Y(h\nu)$  [refer to eq 4, where  $h\nu$  is the photon energy (6.22 eV),  $D_p$  is the particle size,  $I_{\rm UV}$  is the irradiation intensity, t is the irradiation time, and  $\Phi_{\infty}$  and  $\Phi$  are the work function (5.31 eV) and photothreshold, respectively],<sup>32</sup> defined as the number of electrons ejected per photons absorbed, can be derived, as shown in Figure 4c. The data points in Figure 4c are derived from measurements of the mean number of charges using an aerosol electrometer for size-classified, photoionized particles. The measurements were performed in triplicate, and the results were reported as means. The results were comparable to previous reports for measurements of the yields ( $\sim 10^{-3}$ ) of gold. 33,34 On the other hand, the mean number of charges was  $1.55 \pm 0.26$  for the employed particle sizes, although the theoretical maximum charges [refer to eq 5, where  $\varepsilon_0$  is the permittivity of the vacuum (8.85 × 10<sup>-12</sup> C<sup>2</sup> N<sup>-1</sup> m<sup>-2</sup>)] of the particles linearly increases between 1.6 and 29.0. The measured charges coincided with the theoretical value for 4 nm, a size that is consistent with the mean diameter of the primary particles in gold agglomerates. Some photogenerated electrons could be confined within a network of primary gold particles in an agglomerate, and this may induce repetitive charge-discharge of the primary particles (refer to the inset of Figure 4c). The result would be suppressed saturation of particle photoionization, resulting in a lower degree of charge compared to the theoretical values.

Islands of nanoparticles on foreign substrates are of great interest in plasmonic devices and in trace chemical detectors based on surface-enhanced Raman scattering.<sup>35</sup> Figure 5 shows scanning electron microscopy (SEM, NOVA nanoSEM, FEI, Hillsboro, OR) images of the thermophoretically deposited



**Figure 5.** SEM images for the deposition of photoionized gold particles (20 and 30 nm) on silicon wafer substrates.

photoionized particles on silicon wafer substrates. A schematic diagram of the apparatus used to produce the nanoscale islands is shown in Figure 1. The method involves the photoionization of aerosol gold nanoparticles via UV irradiation and subsequent thermophoretic deposition on a silicon substrate [N type (100), with resistivity from  $10^{-2}$  to  $10^{-3}$   $\Omega$  cm]. The temperature of the particle-laden flow was maintained at 19 °C using a tube heater, and the temperature of the silicon substrate was maintained at -16 °C, thereby enhancing deposition of the particles onto the substrate via thermophoresis. 36 The SEM images reveal that the agglomerates (mobility classified at 20 and 30 nm) are spread out over the entire surface with gaps between agglomerates, because of the electrostatic repulsion before deposition. 30,37,38 Relocation of the particles by 20 nm (or 30 nm) would require an external force (i.e., detachment force,  $F_{\text{det}}$ ) to affect particle motion on the substrate. Brownian forces  $(F_{\text{Brown}})^{39}$  and van der Waals attraction  $(F_{\text{VdW}})^{40}$  were smaller  $(\sim 10^{-12} \text{ N})$  than the  $F_{\text{det}}$   $[\sim 10^{-11} \text{ N}, \text{ van der Waals force between the particle and substrate } (F'_{\text{VdW}})^{41}$  capillary force  $(F_{\text{cap}})^{41}$  and thermophoretic force  $(F_{\text{T}})^{1.2}$  Even though the particles lose charge upon deposition, their location on the substrate barely changes. Photoionization of the size-classified gold particles shows that the repulsive interaction because of the particle charges acted as a potential barrier, which suppressed further agglomeration of gold nearly completely during deposition, resulting in the formation of nanoscale islands on a silicon wafer substrate without the use of templates. Straight-line distances between the centers of the deposited particles for the 20 and 30 nm sizeclassified cases were 69  $\pm$  9.6 and 71  $\pm$  9.8 nm, respectively. This implies that there are no significant differences between the distances because of a narrow variation of the charge amount (~1.55 in mean number of charge). Values of the measured mean number of charges (1.49 for 20 nm and 1.61 for 30 nm) are smaller than those of theoretically estimated values (3.71 for 20 nm and 7.89 for 30 nm for single-body spherical particles). This implies that controllable ranges between gold agglomerates may not be broad enough to fabricate a variety of gold island configurations. To increase the distance range, the agglomerated gold particles may often need to be treated with high temperatures 43,44 or surface functionalization with polar components 45,46 to form broader ranges for the charges on a particle surface.

# CONCLUSION

For the first time, the photoionization was used to create positively charged nanosized aerosol gold particles. The particles were then employed to form nanoscale islands of gold agglomerates on a silicon wafer substrate, without the use of templates and any wet chemical steps. This method may provide a practical and relevant strategy for the controllable fabrication of various metal island films or nanoscale island arrays and also provide new opportunities to construct future optoelectronic and sensing devices. Thermal curing or surface functionalization with polar components may often require forming broader ranges for the charges on a particle surface, which would be a viable option for fabricating a variety of nanoscale metal islands on a foreign substrate.

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### Notes

The authors declare no competing financial interest.

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